

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	4001-0012 (ZM0544)
First Inventor	Michael E. Hawkins
Title	POROUS IMPLANT WITH ...
Express Mail Label No.	EL 979755549 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 12]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Sheets 4]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner


Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number: OR ☒ Correspondence address below

Name	Gerald W. Roberts				
Address	One N. Pennsylvania Street, Suite 850				
City	Indianapolis	State	Indiana	Zip Code	46204
Country	U.S.A.	Telephone	(317)822-0033	Fax	(317)822-0055

Name (Print/Type)	Gerald W. Roberts	Registration No. (Attorney/Agent)	45,046
Signature		Date	10/23/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

031088 U.S. PTO
10/693391



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 770.00**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Michael E. Hawkins
Examiner Name	
Art Unit	
Attorney Docket No.	4001-0012 (ZM0544)

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

50-2779

Zimmer Technology, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 770.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	12	-20** = 0	X 18	= 0.00
Multiple Dependent	2	-3** = 0	X 86	= 0.00

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 0.00)				

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity


Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Gerald W. Roberts	Registration No. (Attorney/Agent)	45,046	Telephone	317-822-0033
Signature		Date	10/23/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Indiano Vaughan Roberts & Filomena

A Professional Association

One North Pennsylvania Street
Suite 850
Indianapolis, IN 46204

Gerald W. Roberts
GWR@IPLawIndiana.com

Phone: (317) 822-0033
Fax: (317) 822-0055

October 23, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail Label No. EL 979755549 US

Re: **New United States Utility Patent Application**
Serial No.: Unknown
Filing Date: Herewith
Title: POROUS IMPLANT WITH A DRIED, LUBRICIOUS
WHEN WET, IN VIVO ABSORBABLE COATING
Inventor(s): Hawkins et al.
Attorney Docket No.: 4001-0012 (ZM0544)

Dear Commissioner:

For filing the above-referenced patent application, herewith please find the following:

- A. A CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10;
- B. This transmittal letter, in duplicate (including a deposit acct. authorization);
- C. Utility Patent Application Transmittal Sheet;
- D. Fee Transmittal Form, in duplicate (including a deposit acct. authorization);
- E. Declaration Signed by Michael E. Hawkins (2 pages);
- F. Duplicate Declaration Signed by Steven J. Charlebois (2 pages);
- G. Powers of Attorney Signed by Michael E. Hawkins (2 sheets);
- H. Powers of Attorney Signed by Steven J. Charlebois (2 sheets);
- I. Specification, including 12 claims and an abstract (12 pages); and
- J. Self-addressed, stamped return postcard listing items A-I (above).

Please charge the fees (including any underpayments in fees), or credit any overpayments, to **Deposit Account No. 50-2779** (Zimmer Technology, Inc.). The duplicate copy of this letter and the duplicate copy of the above-listed Fee Transmittal Form are being submitted herewith to facilitate any such deposit account transactions.

Commissioner for Patents
4001-0012 (ZM0544)
October 23, 2003
Page 2 of 2

Additionally, please acknowledge receipt of this (these) paper(s) (including the accompanying submissions) by marking and mailing the return postcard.

Very truly yours,

A handwritten signature in black ink, appearing to read "G. W. Roberts", written in a cursive style.

Gerald W. Roberts
Registration No. 45,046

GWR\jr

4001-0012 (ZM0544) UTILITY APPLICATION TRANSMITTAL LTR TO USPTO

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10

Express Mail Label Number: EL 979755549 US

Date of Deposit: October 23, 2003

I hereby certify that the following are together being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above addressed to **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**:

- A. This CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. 1.10;
- B. A transmittal letter, in duplicate (including a deposit acct. authorization);
- C. Utility Patent Application Transmittal Sheet;
- D. Fee Transmittal Form, in duplicate (including a deposit acct. authorization);
- E. Declaration Signed by Michael E. Hawkins (2 pages);
- F. Duplicate Declaration Signed by Steven J. Charlebois (2 pages);
- G. Powers of Attorney Signed by Michael E. Hawkins (2 sheets);
- H. Powers of Attorney Signed by Steven J. Charlebois (2 sheets);
- I. Specification, including 12 claims and an abstract (12 pages); and
- J. Self-addressed, stamped return postcard listing items A-I (above).

Respectfully submitted,



Gerald W. Roberts
Registration No. 45,046

Commissioner for Patents
4001-0012 (ZM0544)
October 23, 2003
Page 2 of 2

Additionally, please acknowledge receipt of this (these) paper(s) (including the accompanying submissions) by marking and mailing the return postcard.

Very truly yours,

A handwritten signature in black ink, appearing to read "G. Roberts", written over a horizontal line.

Gerald W. Roberts
Registration No. 45,046

GWR\jr

4001-0012 (ZM0544) UTILITY APPLICATION TRANSMITTAL LTR TO USPTO